

FEB 12 2007

FACSIMILE COVER SHEET

Date: February 12, 2007

To: Commissioner for Patents
Patent and Trademark Office
Mail Stop :AF

Fax No.: (571) 273-8300

From: Tadashi Horie
Fax No.: (312) 321 4299
Tel No.: (312) 321 4285

Appln. No.: 09/673,360

Filing Date: October 16, 2000

Patent No.:

Issue Date:

Title: SPEECH TRANSFORMATION METHOD AND
APPARATUS


Attorney Docket No. 11934/3

No. of Pages
(inc. this page): 5Confirmation Copy To Follow: Yes ☐ No ☒IF YOU HAVE ANY PROBLEMS RECEIVING THIS MESSAGE,
PLEASE CALL 312-321-4200 AND ASK FOR: Kumiko Jovanovich OR EMAIL kjovanovich@brinkshofer.com

THIS MESSAGE IS INTENDED ONLY FOR THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED. IT MAY CONTAIN PRIVILEGED, CONFIDENTIAL, ATTORNEY WORK PRODUCT, OR TRADE SECRET INFORMATION WHICH IS EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAWS. IF YOU ARE NOT THE INTENDED RECIPIENT, OR AN EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THE MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION, OR COPYING OF THIS MESSAGE IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS MESSAGE IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE (AND ALL COPIES) TO US BY MAIL AT THE ABOVE ADDRESS. WE WILL REIMBURSE YOU FOR POSTAGE.

**BRINKS
HOFFER
GILSON
& LIONE**A Professional Corporation
Intellectual Property AttorneysNBC Tower - Suite 3600
455 N. Cityfront Plaza Drive
Chicago, Illinois 60611-6599
Facsimile 312-321-4299
Telephone 312-321-4200Indianapolis, IN
Ann Arbor, MI
Arlington, VA

FEB 12 2007

CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8 I hereby certify that this correspondence, totaling <u>4</u> pages including recited attachments, is being facsimile transmitted to the United States Patent and Trademark Office at facsimile no.: 571-273-8300 (Central number) on the below date: Date: <u>February 12, 2007</u> Name: <u>Tadashi Horie (Reg. No. 40,437)</u> Signature: 		BRINKS HOFER GILSON & LIONE
--	--	--------------------------------------

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Toshihiko Oba
 Appln. No.: 09/673,360
 Filed: October 16, 2000
 For: SPEECH TRANSFORMATION METHOD
 AND APPARATUS
 Attorney Docket No: 11934/3

Examiner: Pierre, Myriam
 Art Unit: 2626

Mail Stop AF
 Commissioner for Patents
 P. O. Box 1450
 Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached are:

- ☒ Transmittal (in duplicate); Request to Consider Previously Submitted Information Disclosure Statements
☐ Return Receipt Postcard.

Fee calculation:

- ☐ No additional fee is required.
☐ Small Entity.
☐ An extension fee in an amount of \$_____ for _____-month extension of time under 37 C.F.R. § 1.136(a).
☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(____).
☐ An additional filing fee has been calculated as shown below:

					Small Entity		Not a Small Entity		
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus		0	x \$25=			x \$50=	
Indep.		Minus		0	X100=			x \$200=	
First Presentation of Multiple Dep. Claim									
					Total	\$		Total	\$

Fee payment:

- ☐ A check in the amount of \$_____ is enclosed.
☐ Please charge Deposit Account No. 23-1925 in the amount of \$_____. A copy of this Transmittal is enclosed for this purpose.
☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

February 12, 2007
 Date


 Tadashi Horie (Reg. No. 40,437)

BRINKS
 HOFER
 GILSON

BRINKS HOFER GILSON & LIONE

FEB 12 2007

CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. §1.8 I hereby certify that this correspondence, totaling <u>4</u> pages including rectified attachments, is being facsimile transmitted to the United States Patent and Trademark Office at facsimile no. 571-273-8300 (Central number) on the below date: Date: <u>February 12, 2007</u> Name: <u>Tadashi Horie (Reg. No. 40,437)</u> Signature: <u>Tadashi Horie</u>		BRINKS HOFFER GILSON & LIONE
---	--	---

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Toshihiko Oba
 Appln. No.: 09/673,360
 Filed: October 16, 2000
 For: SPEECH TRANSFORMATION METHOD
 AND APPARATUS
 Attorney Docket No: 11934/3

Examiner: Pierre, Myriam
 Art Unit: 2626

Mail Stop AF
 Commissioner for Patents
 P. O. Box 1450
 Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached are:

- ☒ Transmittal (in duplicate); Request to Consider Previously Submitted Information Disclosure Statements
☐ Return Receipt Postcard.

Fee calculation:

- ☐ No additional fee is required.
☐ Small Entity.
☐ An extension fee in an amount of \$_____ for _____-month extension of time under 37 C.F.R. § 1.136(a).
☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(____).
☐ An additional filing fee has been calculated as shown below:

				Small Entity		Not a Small Entity			
	Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee	
Total	Minus		0	x \$25=			x \$50=		
Indep.	Minus		0	x \$100=			x \$200=		
First Presentation of Multiple Dep. Claim				+ \$180=			+ \$360=		
				Total	\$		Total	\$	

Fee payment:

- ☐ A check in the amount of \$_____ is enclosed.
☐ Please charge Deposit Account No. 23-1925 in the amount of \$_____. A copy of this Transmittal is enclosed for this purpose.
☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

February 12, 2007
 Date

Tadashi Horie
 Tadashi Horie (Reg. No. 40,437)

BRINKS
HOFFER
GILSON

BRINKS HOFFER GILSON & LIONE

RECEIVED
CENTRAL FAX CENTER

FEB 12 2007

Certificate of Facsimile Transmission Under 37 C.F.R. §1.8
I hereby certify that this correspondence is being facsimile
transmitted to the United States Patent and Trademark
Office at facsimile no.: 571-273-8300 (Central number) on:

February 12, 2007

Date of Deposit

Tadashi Horie Reg. No. 40,437Name of Applicant, Assignee or
Registered Representative
SignatureFebruary 12, 2007

Date of Signature

Our Case No. 11934/3**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Toshihiko Oba

Serial No. 09/673,360

Filing Date: October 16, 2000

For: SPEECH TRANSFORMATION
METHOD AND APPARATUS

Examiner: Pierre, Myriam

Group Art Unit No. 2626

REQUEST TO CONSIDER PREVIOUSLY SUBMITTED INFORMATION DISCLOSURE
STATEMENTS

Mail Stop AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicant respectfully requests that the PTO-1449s submitted with the following
three Information Disclosure Statements be returned to Applicant.

308786.1

1

RECEIVED
CENTRAL FAX CENTER

FEB 12 2007

A. Applicant filed a third supplemental Information Disclosure Statement on January 30, 2004. The PTO-1449 submitted with the third supplemental Information Disclosure Statement has not been returned to Applicant. Examiner Nolan returned the Information Disclosure Statement itself, along with the Office Action mailed May 25, 2004, but did not return the PTO-1449 submitted therewith. Although Examiner Nolan returned the Information Disclosure Statement with his initials, it seems that not all of the references were initialed.

B. Applicant filed a forth supplemental Information Disclosure Statement on November 22, 2004. The PTO-1449 submitted therewith has not been returned to Applicant.

C. Applicant filed a fifth supplemental Information Disclosure Statement on June 15, 2005. The PTO-1449 submitted therewith has not been returned to Applicant.

Respectfully submitted,

February 12, 2007
Date


Tadashi Horie (Reg. No. 40,437)

BRINKS HOFER GILSON & LIONE
P.O. Box 10395
Chicago, IL 60610
(312) 321-4200